

Docket No. 267314US8PCT

10/560132  
IAP8 Rec'd PCT/PTO 09 DEC 2005

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Kazutaka NARA, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/JP05/01295

GAU:

FILED: Herewith

EXAMINER:

FOR: BROADBAND WAVELENGTH MULTIPLEXING AND DEMULTIPLEXING FILTER AND OPTICAL SPLITTER WITH OPTICAL SIGNAL MULTIPLEXING AND DEMULTIPLEXING FUNCTION

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 267314US8PCT		SERIAL NO. <b>10/560132</b> New U.S. PCT Application Based on PCT/JP05/01295	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Kazutaka NARA, et al.			
				FILING DATE Herewith		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,596,661	1/21/97	HENRY, Charles H. et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES                      NO		
	AO	6-242333	9/2/94	JP (with English abstract)		No	
	AP	5-232333	9/10/93	JP (with English abstract)		No	
	AQ	5-196827	8/6/93	JP (with English abstract)		No	
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW	HIDA, Yasuhiro et al., The IEICE Transactions C-I, Vol. J80-C-I, No. 11, p. 517-524, 11/1997 (with partial English translation)					
	AX	NARA, Kazutaka et al., Proceedings of the 2004 IEICE Electronics Society Conference 1, 9/8/04, p. 174 (with partial English translation)					
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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# STATEMENT OF RELEVANCY

1) References AA, AO and AW-AX have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.

2) References \_\_\_\_\_ have been cited in the corresponding \_\_\_\_\_ Search Report. A copy of these references is being submitted herewith.

3) References \_\_\_\_\_ are discussed in the specification. A copy of these references is being submitted herewith.

4) References AP and AQ are additional prior art known to Applicant. A copy of these references is being submitted herewith.